



09/13/255

Atty. Docket No.: 3284/1220

PATENT

DACT  
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Habener, et al.  
Patent No.: 6,866,843  
Issued: March 5, 2005  
Entitled: Method of Transplanting in a Mammal  
and Treating Diabetes Mellitus by  
Administering a Pseudo-Islet Like  
Aggregate Differentiated From a  
Nestin-Positive Pancreatic Stem Cell

Examiner: Bunner, B.

Group Art Unit: 1647

Conf. No.: 9070

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a**

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Enclosed for filing the above-identified patent application, please find the following documents:

1. Petition to Correct Assignee on Patent Face;
2. Copy of Issued Patent Cover Page (Patent No. 6,866,843);
3. Copy of Assignment Recordation; and
4. Return post card.

The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 3284/1220. A duplicate of this transmittal letter is enclosed for this purpose.

Date: May 31, 2005

Respectfully submitted,

Name: Kathleen M. Williams  
Registration No.: 34,380  
Customer No.: 29933  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100



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Kathleen Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO CORRECT ASSIGNEE ON PATENT FACE**

Applicants hereby request that 37 C.F.R. 3.81(a) be waived to permit the correct name of the Assignee to be provided after issuance of U.S. patent 6,866,843. Applicants incorrectly listed Viacell Inc. as the Assignee on form PTOL-85B. The correct assignee is The General Hospital Corporation.

Applicants therefore petition the U.S. Patent & Trademark Office to correct the face of the patent (copy enclosed) to remove "Viacell, Inc." as the Assignee and to list "**The General Hospital Corporation**" as Assignee.

The address of the assignee is:

Fruit Street  
Boston, MA 02114.

Copies of the Assignments of record are enclosed.

The Commissioner for Patents is hereby authorized to charge the necessary petition fee to Deposit Account No. 16-0085, Reference 3284/1220.

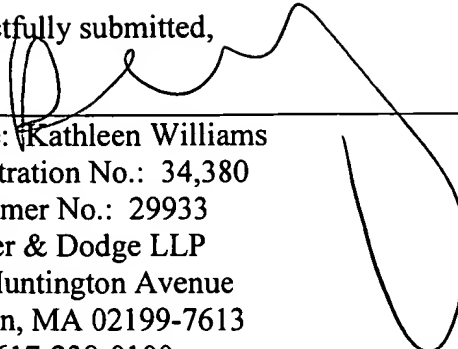
06/06/2005 MAHME1 00000078 160085 6866843

01 FC:1464 130.00 DA

Date:

May 31, 2005

Respectfully submitted,

  
Name: Kathleen Williams

Registration No.: 34,380

Customer No.: 29933

Palmer & Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100



MMD

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 30, 2004

PTAS

PALMER & DODGE LLP  
KATHLEEN M. WILLIAMS  
111 HUNTINGTON AVENUE  
BOSTON, MA 02199



\*102721941A\*

3884/1220

COPY

## UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/13/2004

REEL/FRAME: 015202/0055  
NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HABENER, JOEL E.

DOC DATE: 06/26/2003

ASSIGNOR:

ZULEWSKI, HENRYK

DOC DATE: 06/24/2003

ASSIGNOR:

ABRAHAM, ELIZABETH J.

DOC DATE: 05/29/2003

ASSIGNOR:

VALLEJO, MARIO

DOC DATE: 07/02/2003

ASSIGNOR:

FAUSTMAN, DENISE L.

DOC DATE: 08/12/2003

ASSIGNOR:

THOMAS, MELISSA K.

DOC DATE: 06/26/2003

~~ASSIGNEE:~~

GENERAL HOSPITAL CORPORATION  
FRUIT STREET  
BOSTON, MASSACHUSETTS 02114

SERIAL NUMBER: 09731255 ✓

FILING DATE: 12/06/2000 ✓

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD OF TRANSPLANTING IN A MAMMAL AND TREATING DIABETES MELLITUS  
BY ADMINISTERING A PSEUDO-ISLET LIKE AGGREGATE DIFFERENTIATED FROM  
A NESTIN-POSITIVE PANCREATIC STEM CELL

SHARON LATIMER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-15-2004



To the Honorable Commissioner of Patents

102721941

Use the attached original documents or copy thereof.

## 1. Name and address of conveying party(ies):

Name: Joel E. HabenerStreet Address: 156 Grant AvenueCity: Newton CentreState: MA ZIP: 02459Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: June 26, 2003; June 24, 2003; May 29, 2003; July 2, 2003; August 12, 2003; June 26, 2003

## 2. Name and address of receiving party(ies):

Name: The General Hospital Corporation

Internal Address: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: Fruit StreetCity: BostonState: MA ZIP: 02114Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers:

*If being submitted with New Application, execution date of application is:*A. Patent Application No.(s) 09/731,255

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

OPR/FINANCE

2004 APR 13 AM 7:11

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathleen M. WilliamsInternal Address: Palmer & Dodge LLPStreet Address: 111 Huntington AvenueCity: Boston State: MA ZIP: 021996. Total number of application and patents involved: 17. Total fee (37 CFR 3.41)..... \$ 40.00☒ Enclosed☒ Authorized to charge deficiencies to deposit account8. Deposit account number: 16-0085 Ref. 3284/1220

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen M. Williams

Name of Person Signing

Signature

Elizabeth M. S. Neg # 45,123  
for Kathleen Williams

Date

4/9/04Total number of pages comprising cover sheet attachment and document: 9

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment and Recordation Services, Commissioner of Patents and Trademarks,  
P.O. Box 1450, Alexandria, VA 22313-1450

04/14/2004 NGEXACHE 00000035 09731255

01 FC:8021

40.00 OP

Page 2 of FORM PTO-1595

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

U.S. Department of Commerce

Name and address of additional conveying party(ies): IF ANY

Name: Henryk Zulewski  
Street Address: Offenburgerstrasse 55  
City: CH-4057 Basel  
State: Switzerland ZIP:

Name and address of additional conveying party(ies): IF ANY

Name: Elizabeth J. Abraham  
Street Address: 119 Utica Street  
City: Quincy  
State: MA ZIP: 02169

Name and address of additional conveying party(ies): IF ANY

Name: Mario Vallejo  
Street Address: Paseo Infanta Isabel 15, 3D  
City: 28014, Madrid  
State: Spain ZIP:

Name and address of additional conveying party(ies): IF ANY

Name: Denise L. Faustman  
Street Address: 74 Pinecroft Road  
City: Weston  
State: MA ZIP: 02193

Name and address of additional conveying party(ies): IF ANY

Name: Melissa K. Thomas  
Street Address: One Longfellow Place, Apt. 2616  
City: Boston  
State: MA ZIP: 02114

## ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to: THE GENERAL HOSPITAL CORPORATION, a corporation of the State of Massachusetts, having a principal place of business at Fruit Street, Boston, MA 02114, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

### **Stem Cells and Their Use in Transplantation**

and identified as:

**Serial No.: 09/731,255; Filed: December 6, 2000**

and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of



the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

Date: June 26, 2003

Joel E. Habener  
Name of Inventor: Joel E. Habener  
Address of Inventor: 156 Grant Avenue  
Newton Centre, MA 02459

State of Massachusetts

County of Suffolk

) ss.  
)  
)

Subscribed and sworn to before me by the above-named Joel E. Habener  
on June 26, 2003.  
date

Matthew K. Renant  
Notary Public

My Commission Expires: 11/13/03

(Seal)

Date: \_\_\_\_\_

\_\_\_\_\_  
Name of Inventor: Henryk H. Zulewski  
Address of Inventor: Offenburgerstrasse 55,  
CH-4057 Basel, Switzerland

Witnessed by \_\_\_\_\_

on \_\_\_\_\_  
date

(Seal)



Date: 5.29.03

Elizabeth Jacob Abraham  
Name of Inventor: Elizabeth J. Abraham  
Address of Inventor: 119 Utica Street  
Quincy, MA 02169

County of Suffolk ) ss.  
)  
)

Subscribed and sworn to before me by the above-named  
on 5/29/03 date

Elizabeth Jacob Abraham  
Deborah A. Parker  
Notary Public

My Commission Expires Deborah A. Parker  
**September 12, 2008**

(Seal)

Date: \_\_\_\_\_

Name of Inventor: Mario Vallejo  
Address of Inventor: Paseo Infanta Isabel 15, 3D,  
28014, Madrid, Spain

Witnessed by \_\_\_\_\_

on \_\_\_\_\_  
date

617 726 1668

Date: \_\_\_\_\_

Name of Inventor: Elizabeth J. Abraham  
Address of Inventor: 119 Utica Street  
Quincy, MA. 02169

County of \_\_\_\_\_

) ss.  
)  
)

Subscribed and sworn to before me by the above-named \_\_\_\_\_  
on \_\_\_\_\_  
date

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

(Seal)

Date: July 2, 2003

M. Vallejo  
Name of Inventor: Mario Vallejo  
Address of Inventor: Paseo Infanta Isabel 15, 3D,  
28014, Madrid, Spain

Witnessed by [Signature]

on 3/7/03  
date

Date: 8/12/03

Denise L. Faustman  
Name of Inventor: Denise L. Faustman  
Address of Inventor: 74 Pinewood Road  
Weston, MA 02193

County of Suffolk ) ss.  
 )  
 )

Subscribed and sworn to before me by the above-named Denise L. Faustman  
on August 12, 2003  
date

Deborah A. Parker  
Notary Public  
Deborah A. Parker  
My Commission Expires: September 12, 2008

Date: \_\_\_\_\_

\_\_\_\_\_  
Name of Inventor: Melissa K. Thomas  
Address of Inventor: One Longfellow Place  
Apt. 2616  
Boston, MA 02114

County of \_\_\_\_\_ ) ss.  
 )  
 )

Subscribed and sworn to before me by the above-named \_\_\_\_\_  
on \_\_\_\_\_  
date

\_\_\_\_\_  
Notary Public  
My Commission Expires: \_\_\_\_\_

Date: \_\_\_\_\_

**Name of Inventor:** Denise L. Faustman  
**Address of Inventor:** 74 Pinecroft Road  
 Weston, MA 02193

County of \_\_\_\_\_ ) ss.  
 )  
 )

Subscribed and sworn to before me by the above-named \_\_\_\_\_  
on \_\_\_\_\_  
date \_\_\_\_\_

**Notary Public**

My Commission Expires: \_\_\_\_\_

Date: 6/26/03

*Melissa K. Thomas*  
Name of Inventor: Melissa K. Thomas  
Address of Inventor: One Longfellow Place  
Apt. 2616  
Boston, MA 02114

State of: Massachusetts ) ss.  
County of Suffolk )  
 )

Subscribed and sworn to before me by the above-named Melissa K. Thomas  
on June 26, 2003.  
date

Martha K Conant  
Notary Public

My Commission Expires: 11/12/03